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OCT 3 1 2006

Atty Docket No. 02307O-142610US

PTO FAX NO.: 1-571-273-8300

ATTENTION:

Examiner Not Yet Assigned

Group Art Unit 3738

## OFFICIAL COMMUNICATION

## FOR THE PERSONAL ATTENTION OF

## **EXAMINER Not Yet Assigned**

#### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following documents in re Application of Alexey V. ELISEEV, Application No. 10/536,626, filed May 26, 2005 for CHARGED CYCLODEXTRIN DERIVATIVES AND THEIR USE IN PLANT CELL AND TISSUE CULTURE GROWTH MEDIA are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

#### Documents Attached

- 1. Request for Corrected Filing Receipt (2 pages)
- 2. Markup Copy of Official Filing Receipt and Notice of Acceptance, dated August 11, 2006 (5 pages)
- 3. Copy of Application Data Sheet (ADS) originally submitted May 26, 2006 (2 pages)

Number of pages being transmitted, including this page: 10

Dated: October 31, 2006

Brien M. Bergner

PLEASE CONFIRM RECEIPT OF THIS PAPER BY RETURN FACSIMILE AT (415) 576-0300

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, CA 94111-3834 Telephone: 415-576-0200

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# RECEIVED CENTRAL FAX CENTER

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I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

PATENT Docket No.: 023070-142610US

Client Ref. No.: 2004-189-1

TOWNSEND and TOWNSEND and CREW LLP

Brien M. Bergner

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Alexey V. ELIŞEEV

Patent No.:

Issued:

Application No.: 10/536,626

Filed: May 26, 2005

For: CHARGED CYCLODEXTRIN
DERIVATIVES AND THEIR USE IN
PLANT CELL AND TISSUE CULTURE

**GROWTH MEDIA** 

Confirmation No.: 2266

Examiner:

Not Yet Assigned

Art Unit:

3738

REQUEST FOR CORRECTED

FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is a markup copy of the Official Filing Receipt and Notice of Acceptance received from the Patent and Trademark Office in the above-noted application which shows the requested changes and for which issuance of a Corrected Filing Receipt is respectfully requested.

The PCT application number in the **Domestic Priority data as claimed** by applicant section and on the Notice of Acceptance is incorrect. The application number should read PCT/US03/38338 not /38388.

The US non-provisional application 10/065938, filed 12/02/2002, should be listed in the **Domestic Priority data as claimed by applicant section** not in the **Foreign Applications** section.

Alexey V. ELISEEV

Application No.: 10/536,626

Page 2

<u>PATENT</u>

Attached is the Application Data Sheet (ADS) originally submitted May 26, 2006, which correctly displays both application numbers in the **Domestic Priority** Information section.

The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

Reg. No. 34,774

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 Tel: (415) 576-0200

Fax: (415) 576-0300

KLB:bmb

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Inited States Patent and Trademark Office 🗸 UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR FATENTS P.O. Bux 1430 Alexandria, Virginia 22313-1450 FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY. DOCKET NO DRAWINGS TOT CLMS IND CLMS (c) DATE 10/536.626 05/26/2005 3738 400 023070-142610US 16

**CONFIRMATION NO. 2266** 

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 FILING RECEIPT
-0C000000019910028\*

Date Mailed: 08/11/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Alexey V. Eliseev, Brookline, MA;

**Assignment For Published Patent Application** 

The Regents of the University of California, Oakland, CA

Power of Attorney: The patent practitioners associated with Customer Number 20350.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/38388 12/03/2003 \* (\*)Data provided by applicant is not consistent with PTO records.

Foreign Applications

UNITED STATES OF AMERICA 10065938 12/02/2002 If Required, Foreign Filing License Granted: 08/04/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/536.626

Projected Publication Date: 11/16/2006

Non-Publication Request: No

Early Publication Request: No

PAGE 4/10 \* RCVD AT 10/31/2006 7:23:38 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/43 \* DNIS:2738300 \* CSID:415 576 0300 \* DURATION (mm-ss):02-58-

#### \*\* SMALL ENTITY \*\*

Title

Charged cyclodextrin derivatives and their use in plant cell and tissue culture growth media

#### **Preliminary Class**

623

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Page 3 of 3

under 37 CFR 5.13 or 5.14.

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PRIORITY DATE

12/02/2002



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1430 Alsoundria, Vinginia 22313-1490

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. Alexey V. Eliseev 023070-142610US 10/536,626

INTERNATIONAL APPLICATION NO.

PCT/US03/38388 36335 I.A. FILING DATE

12/01/2003

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

**CONFIRMATION NO. 2266** 371 ACCEPTANCE LETTER OC000000019910029\*

Date Mailed: 08/11/2006

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495.

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

05/26/2005

05/26/2005

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Indication of Small Entity Status
- Copy of the International Application filed on 05/26/2005
- Copy of the International Search Report filed on 05/26/2005
- Oath or Declaration filed on 05/26/2005
- Request for Immediate Examination filed on 05/26/2005
- U.S. Basic National Fees filed on 05/26/2005
- Power of Attorney filed on 08/11/2005
- Specification filed on 05/26/2005
- Claims filed on 05/26/2005
- Drawings filed on 05/26/2005

Page 2 of 2

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

KAYA L LEWIS BALTIMORE Telephone: (703) 308-9140 EXT 202

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

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## **Application Data Sheet**

Application Information

Application number::

Filing Date::

Application Type::

Subject Matter::

Title::

Regular

Utility

Charged Cyclodextrin Derivatives And Their

Use In Plant Cell And Tissue Culture Growth

Media

Attorney Docket Number::

023070-142610US

Request for Early Publication::

Request for Non-Publication::

Total Drawing Sheets::

Small Entity?::

Petition included?::

Secrecy Order in Parent Appl.::

0 V--

No

No

Yes

No

No

#### **Applicant Information**

Applicant Authority Type::

Inventor

Primary Citizenship Country::

U\$

Status::

Full Capacity

Given Name::

Alexey

Middle Name::

٧.

Family Name::

ELISEEV

Brookline

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MA

State or Province of Residence::

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Country of Residence::

US

Street of Mailing Address::

419 Washington Street, #1

City of Mailing Address::

Brookline

State or Province of mailing address::

MA

Country of mailing address::

US

COPY

Page 1

Initial 5/25/05

Postal or Zip Code of mailing address:: 02446

Correspondence Information

Correspondence Customer Number:: 20350

Representative Information

Representative Customer Number:: 20350

**Domestic Priority Information** 

Application:: Parent Application:: Parent Filing Date::

This Application National Stage of PCT/US2003/0383 12/01/03

\_\_\_\_

PCT/US2003/03833 An Appn claiming 10/065,938 12/02/02

priority of

Foreign Priority Information

Country:: Application number:: Filing Date::

**Assignee Information** 

Assignee Name:: The Regents of the University of California

Street of mailing address:: 1111 Franklin Street, 12th Floor

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State or Province of mailing address:: CA

Country of mailing address:: US

Postal or Zip Code of mailing address:: 94607